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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 37

**Complete if Known**

|                        |                |
|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|------------------------|--------------------------|--|--------------------------------|--|---|
|                        |                          | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
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| Examiner<br>Signature  | /Heidi Reese/            |  |                                | Date<br>Considered                                 | 07/13/2011  |

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Sheet 2 of 37

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|                        |                |
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| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
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Examiner  
Signature

/Heidi Reese/

Date  
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07/13/2011

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|   |   |    |    | Attorney Docket Number   | BAYER-0044     |
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|                       |               |                    |            |
|-----------------------|---------------|--------------------|------------|
| Examiner<br>Signature | /Heidi Reese/ | Date<br>Considered | 07/13/2011 |
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**INFORMATION DISCLOSURE  
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Sheet 5 of 37

**Complete if Known**

|                        |                |
|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

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**INFORMATION DISCLOSURE  
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Sheet 6 of 37

**Complete if Known**

|                        |                |
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| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

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|   |   |    |    | Application Number       | 10/788,426     |
|   |   |    |    | Filing Date              | March 1, 2004  |
|   |   |    |    | First Named Inventor     | Jacques Dumas  |
|   |   |    |    | Art Unit                 | 1625           |
|   |   |    |    | Examiner Name            | Heidi L. Reese |
|   |   |    |    | Attorney Docket Number   | BAYER-0044     |
| Sheet   | 7 | of | 37 |                          |                |

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|   |   |    |    | Attorney Docket Number   | BAYER-0044     |
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|                        | B34                      | 2003/0207914                               | 11-06-2003                     | Dumas et al.                                       |   |
|                        | B34a                     | 2003/0216396                               | 11-20-2003                     | Dumas et al.                                       |   |
|                        | B35                      | 2003/0216446                               | 11-20-2003                     | Dumas et al.                                       |   |
|                        | B36                      | 2003/0232400                               | 12-18-2003                     | Radka et al.                                       |   |
|                        | B37                      | 2003/0232765                               | 12-18-2003                     | Carter et al.                                      |   |
|                        | B37a                     | 2004/0023961                               | 02-05-2004                     | Dumas et al.                                       |   |
|                        | B38                      | 2004/0052880                               | 03-18-2004                     | Kobayashi et al.                                   |   |
|                        | B39                      | 2004/0096855                               | 05-20-2004                     | Stratton et al.                                    |   |
|                        | B40                      | 2004/0147541                               | 07-29-2004                     | Lane et al.  |   |
|                        | B41                      | 2004/0192770                               | 09-30-2004                     | Kozikowski et al.                                  |   |
|                        | B42                      | 2004/0197256                               | 10-07-2004                     | Rogers et al.                                      |   |
|                        | B43                      | 2004/0209905                               | 10-21-2004                     | Kubo et al.  |   |
|                        | B43a                     | 2004/0224937                               | 11-11-2004                     | Furness et al.                                     |   |
|                        | B44                      | 2004/0229937                               | 11-18-2004                     | Dumas et al.                                       |   |
|                        | B45                      | 2004/0235829                               | 11-25-2004                     | Scott et al.                                       |   |
|                        | B46                      | 2005/0032798                               | 02-10-2005                     | Boyer et al.                                       |   |
|                        | B47                      | 2005/0038031                               | 02-17-2005                     | Dumas et al.                                       |   |
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|                       |               |                    |            |
|-----------------------|---------------|--------------------|------------|
| Examiner<br>Signature | /Heidi Reese/ | Date<br>Considered | 07/13/2011 |
|-----------------------|---------------|--------------------|------------|

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| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   |    |    | <b>Complete if Known</b> |                |
|   |   |    |    | Application Number       | 10/788,426     |
|   |   |    |    | Filing Date              | March 1, 2004  |
|   |   |    |    | First Named Inventor     | Jacques Dumas  |
|   |   |    |    | Art Unit                 | 1625           |
|   |   |    |    | Examiner Name            | Heidi L. Reese |
|   |   |    |    | Attorney Docket Number   | BAYER-0044     |
| Sheet   | 9 | of | 37 |                          |                |

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|------------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials * | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                        |                          | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
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|                        | B52a                     | 2006/0241301                               | 10-26-2006                     | Hoelzemann et al.                                  |   |
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|                       |               |                    |            |
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| Examiner<br>Signature | /Heidi Reese/ | Date<br>Considered | 07/13/2011 |
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 10 of 37

**Complete if Known**

|                        |                |
|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document  | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|---|-----------------------------------|--|--|----------------|
|                       |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                   |  |  |                |
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|                       | C15                      | WO-95/02136   | 01-19-1995                        | Mannesmann<br>Aktiengesellschaft   |  | abs            |

Examiner  
Signature

/Heidi Reese/

Date

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07/13/2011

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Sheet 11 of 37

**Complete if Known**

|                        |                |
|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

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|                       |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                   |  |  |                |
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|                       | C19                      | WO-95/14023   | 05-26-1995                        | Abbott Laboratories                                      |  |                |
|                       | C20                      | WO-95/16691   | 06-22-1995                        | Sandoz Ltd. et al.                                       |  |                |
|                       | C21                      | WO-95/19169   | 07-20-1995                        | Sugen, Inc.  |  |                |
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|                       | C25                      | WO-96/02112   | 01-25-1996                        | Thomson-CSF et<br>al.                                    |  | abs            |
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|                       | C35                      | WO-97/17329   | 05-15-1997                        | Kirin Beer<br>Kabushiki Kaisha                           |  | abs            |

Examiner  
Signature

/Heidi Reese/

Date  
Considered

07/13/2011

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Sheet 12 of 37

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|                        |                |
|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

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|                       | C37                      | WO-97/30992   | 08-28-1997                        | Bristol-Myers<br>Squibb Company                       |  |                |
|                       | C38                      | WO-97/34146   | 09-18-1997                        | The General<br>Hospital<br>Corporation                |  |                |
|                       | C39                      | WO-97/40028   | 10-30-1997                        | Vertex<br>Pharmaceuticals<br>Incorporated             |  |                |
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|                       | C47                      | WO-98/22103   | 05-28-1998                        | Zeneca Limited et<br>al.                              |  |                |
|                       | C48                      | WO-98/22432   | 05-28-1998                        | Yamanouchi<br>Pharmaceutical<br>Co., Ltd.             |  | abs            |
|                       | C49                      | WO-98/32439   | 07-30-1998                        | SmithKline<br>Beecham<br>Corporation et al.           |  |                |
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|                       |               |                    |            |
|-----------------------|---------------|--------------------|------------|
| Examiner<br>Signature | /Heidi Reese/ | Date<br>Considered | 07/13/2011 |
|-----------------------|---------------|--------------------|------------|

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |    |    |    | Application Number       | 10/788,426     |
|   |    |    |    | Filing Date              | March 1, 2004  |
|   |    |    |    | First Named Inventor     | Jacques Dumas  |
|   |    |    |    | Art Unit                 | 1625           |
|   |    |    |    | Examiner Name            | Heidi L. Reese |
| Sheet   | 13 | of | 37 | Attorney Docket Number   | BAYER-0044     |

| FOREIGN PATENT DOCUMENTS |                          |   |                                   |   |  |                |
|--------------------------|--------------------------|---|-----------------------------------|---|--|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                   |   |  |                |
|                          | C53                      | WO-98/52558   | 11-26-1998                        | Bayer Corporation<br>et al.                           |  |                |
|                          | C54                      | WO-98/52559   | 11-26-1998                        | Bayer Corporation<br>et al.                           |  |                |
|                          | C55                      | WO-98/52937   | 11-26-1998                        | G.D. Searle and<br>Co. et al.                         |  |                |
|                          | C56                      | WO-98/52941   | 11-26-1998                        | G.D. Searle and<br>Co. et al.                         |  |                |
|                          | C57                      | WO-98/56377   | 12-17-1998                        | SmithKline<br>Beecham<br>Corporation et al.           |  |                |
|                          | C58                      | WO-99/00357   | 01-07-1999                        | Vertex<br>Pharmaceuticals<br>Incorporated             |  |                |
|                          | C59                      | WO-99/00370   | 01-07-1999                        | Kureha Kagaku<br>Kogyo Kabushiki<br>Kaisha            |  | abs            |
|                          | C60                      | WO-99/20617   | 04-29-1999                        | Pharmacia &<br>UpJohn Company<br>et al.               |  |                |
|                          | C61                      | WO-99/21835   | 05-06-1999                        | Fujisawa<br>Pharmaceutical<br>Co., Ltd. et al.        |  |                |
|                          | C62                      | WO-99/23091   | 05-14-1999                        | Boehringer<br>Ingelheim<br>Pharmaceuticals,<br>Inc.   |  |                |
|                          | C63                      | WO-99/24035   | 05-20-1999                        | Bristol-Myers<br>Squibb Company                       |  |                |
|                          | C64                      | WO-99/24398   | 05-20-1999                        | Zeneca Limited et<br>al.                              |  |                |
|                          | C65                      | WO-99/24635   | 05-20-1999                        | Advanced<br>Technology<br>Materials, Inc.             |  |                |
|                          | C66                      | WO-99/26657   | 06-03-1999                        | Medical University<br>of South Carolina<br>et al.     |  |                |
|                          | C67                      | WO-99/28305   | 06-10-1999                        | E.I. Dupont De<br>Nemours &<br>Company et al.         |  |                |
|                          | C68                      | WO-99/32106   | 07-01-1999                        | Bayer Corporation                                     |  |                |
|                          | C69                      | WO-99/32109   | 07-01-1999                        | Bristol-Myers<br>Squibb Company                       |  |                |
|                          | C70                      | WO-99/32110   | 07-01-1999                        | Bayer Corporation                                     |  |                |
|                          | C71                      | WO-99/32111   | 07-01-1999                        | Bayer Corporation                                     |  |                |

|                       |               |                    |            |
|-----------------------|---------------|--------------------|------------|
| Examiner<br>Signature | /Heidi Reese/ | Date<br>Considered | 07/13/2011 |
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 14 of 37

**Complete if Known**

|                        |                |
|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

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|--------------------|-----------------------|---|--------------------------------|---|--|----------------|
|                    |                       | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |   |  |                |
|                    | C72                   | WO-99/32436   | 07-01-1999                     | Bayer Corporation                                       |  |                |
|                    | C73                   | WO-99/32437   | 07-01-1999                     | Slovak-Farma, A.S. et al.                               |  |                |
|                    | C74                   | WO-99/32455   | 07-01-1999                     | Bayer Corporation                                       |  |                |
|                    | C75                   | WO-99/32463   | 07-01-1999                     | Bayer Corporation                                       |  |                |
|                    | C76                   | WO-99/33458   | 07-08-1999                     | Daiichi Pharmaceuticals Co., Ltd. et al.                |  | abs            |
|                    | C77                   | WO-99/35132   | 07-15-1999                     | Glaxo Group Limited et al.                              |  |                |
|                    | C78                   | WO-99/40673   | 08-12-1999                     | Moving Magnet Technologies (S.A.) et al.                |  | abs            |
|                    | C79                   | WO-99/58502   | 11-18-1999                     | Vertex Pharmaceuticals Incorporated et al.              |  |                |
|                    | C80                   | WO-99/62890   | 12-09-1999                     | Pfizer Products Inc. et al.                             |  |                |
|                    | C81                   | WO-00/12497   | 03-09-2000                     | Scios Inc. et al.                                       |  |                |
|                    | C82                   | WO-00/17175   | 03-30-2000                     | Vertex Pharmaceuticals Incorporated et al.              |  |                |
|                    | C83                   | WO-00/19205   | 04-06-2000                     | Advanced Research and Technology Institute, Inc. et al. |  |                |
|                    | C84                   | WO-00/26203   | 05-11-2000                     | Pharmacia & Upjohn S.P.A. et al.                        |  |                |
|                    | C85                   | WO-00/27414   | 05-18-2000                     | BASF Aktiengesellschaft et al.                          |  |                |
|                    | C86                   | WO-00/31238   | 06-02-2000                     | Genetica, Inc. et al.                                   |  |                |
|                    | C87                   | WO-00/34303   | 06-15-2000                     | Isis Pharmaceuticals, Inc. et al.                       |  |                |
|                    | C88                   | WO-00/35454   | 06-22-2000                     | Du Pont Pharmaceuticals Company                         |  |                |
|                    | C89                   | WO-00/35455   | 06-22-2000                     | Telik, Inc.   |  |                |
|                    | C90                   | WO-00/39101   | 07-06-2000                     | Astra Zeneca UK Limited et al.                          |  |                |
|                    | C91                   | WO-00/39116   | 07-06-2000                     | Teikoku Hormone MFG. Co., Ltd.                          |  | abs            |

Examiner Signature

/Heidi Reese/

Date Considered

07/13/2011

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 15 of 37

**Complete if Known**

|                        |                |
|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

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|                       |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                   |   |  |                |
|                       | C92                      | WO-00/41698   | 07-20-2000                        | Bayer Corporation<br>et al.                           |  |                |
|                       | C93                      | WO-00/42012   | 07-20-2000                        | Bayer Corporation<br>et al.                           |  |                |
|                       | C94                      | WO-00/43366   | 07-27-2000                        | Kirin Beer<br>Kabushiki Kaisha                        |  | abs            |
|                       | C95                      | WO-00/43384   | 07-27-2000                        | Boehringer<br>Ingelheim<br>Pharmaceuticals,<br>Inc.   |  |                |
|                       | C96                      | WO-00/47577   | 08-17-2000                        | SmithKline<br>Beecham PLC et<br>al.                   |  |                |
|                       | C97                      | WO-00/50425   | 08-31-2000                        | Boehringer<br>Ingelheim<br>Pharmaceuticals,<br>Inc.   |  |                |
|                       | C98                      | WO-00/55139   | 09-21-2000                        | Boehringer<br>Ingelheim<br>Pharmaceuticals,<br>Inc.   |  |                |
|                       | C99                      | WO-00/55152   | 09-21-2000                        | Boehringer<br>Ingelheim<br>Pharmaceuticals,<br>Inc.   |  |                |
|                       | C100                     | WO-00/56331   | 09-28-2000                        | Vertex<br>Pharmaceuticals<br>Incorporated et al.      |  |                |
|                       | C101                     | WO-00/71506   | 11-30-2000                        | Telik, Inc.   |  |                |
|                       | C102                     | WO-00/71532   | 11-30-2000                        | Pfizer Products<br>Inc. et al.                        |  |                |
|                       | C103                     | WO-01/04115   | 01-18-2001                        | Boehringer<br>Ingelheim<br>Pharmaceuticals,<br>Inc.   |  |                |
|                       | C104                     | WO-01/07411   | 02-01-2001                        | Banyu<br>Pharmaceutical<br>Co., Ltd.                  |  | abs            |
|                       | C105                     | WO-01/09088   | 02-08-2001                        | Kirin Beer<br>Kabushiki Kaisha<br>et al.              |  |                |
|                       | C106                     | WO-01/12188   | 02-22-2001                        | Pharmacia &<br>Upjohn S.P.A. et<br>al.                |  |                |

Examiner  
Signature

/Heidi Reese/

Date  
Considered

07/13/2011

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 16 of 37

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|                        |                |
|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

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|                    | C107                  | WO-01/36403   | 05-25-2001                     | Boehringer Ingelheim Pharmaceuticals, Inc. et al. |  |                |
|                    | C108                  | WO-01/47892   | 07-05-2001                     | American Home Products Corporation                |  |                |
|                    | C109                  | WO-01/54723   | 08-02-2001                     | Sunnybrook Health Science Center et al.           |  |                |
|                    | C110                  | WO-01/54727   | 08-02-2001                     | The Van Kampen Group, Inc. et al.                 |  |                |
|                    | C111                  | WO-01/57008   | 08-09-2001                     | BASF Aktiengesellschaft et al.                    |  |                |
|                    | C112                  | WO-01/63403   | 08-30-2001                     | Sun Microsystems, Inc.                            |  |                |
|                    | C113                  | WO-01/66099   | 09-13-2001                     | Astra Zeneca AB et al.                            |  |                |
|                    | C114                  | WO-01/66540   | 09-13-2001                     | SmithKline Beecham P.L.C. et al.                  |  |                |
|                    | C114a                 | WO-01/80843   | 11-01-2001                     | Supergen, Inc. et al.                             |  |                |
|                    | C115                  | WO-02/06382   | 01-24-2002                     | General Electric Company                          |  |                |
|                    | C116                  | WO-02/07747   | 01-31-2002                     | Joslin Diabetes Center, Inc. et al.               |  |                |
|                    | C117                  | WO-02/07772   | 01-31-2002                     | Boehringer Ingelheim Pharmaceuticals, Inc.        |  |                |
|                    | C118                  | WO-02/10141   | 02-07-2002                     | Pfizer Products Inc. et al.                       |  |                |
|                    | C119                  | WO-02/14281   | 02-21-2002                     | Cochran et al.                                    |  |                |
|                    | C120                  | WO-02/14311   | 02-21-2002                     | Amgen Inc. et al.                                 |  |                |
|                    | C121                  | WO-02/18346   | 03-07-2002                     | Pfizer Products Inc. et al.                       |  |                |
|                    | C122                  | WO-02/24635   | 03-28-2002                     | Fujisawa Pharmaceutical Co., Ltd. et al.          |  |                |
|                    | C123                  | WO-02/25286   | 03-28-2002                     | The President and Fellows of Harvard College      |  |                |
|                    | C124                  | WO-02/32872   | 04-25-2002                     | Eisai Co., Ltd.                                   |  | abs            |
|                    | C125                  | WO-02/40445   | 05-23-2002                     | Novo Nordisk A/S                                  |  |                |
|                    | C126                  | WO-02/42012   | 05-30-2002                     | Brill   |  |                |

|                    |               |                 |            |
|--------------------|---------------|-----------------|------------|
| Examiner Signature | /Heidi Reese/ | Date Considered | 07/13/2011 |
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|   |    |    |    | Filing Date              | March 1, 2004  |
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|   |    |    |    | Art Unit                 | 1625           |
|   |    |    |    | Examiner Name            | Heidi L. Reese |
| Sheet   | 17 | of | 37 | Attorney Docket Number   | BAYER-0044     |

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|                          |                       | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |   |   |                |
|                          | C127                  | WO-02/44156   | 06-06-2002                     | Glaxo Group Limited et al.                      |   |                |
|                          | C128                  | WO-02/44158   | 06-06-2002                     | Pfizer Products Inc. et al.                     |   |                |
|                          | C129                  | WO-02/50091   | 06-27-2002                     | Glaxo Group Limited et al.                      |   |                |
|                          | C130                  | WO-02/059081  | 08-01-2002                     | Padia et al.                                    |   |                |
|                          | C131                  | WO-02/059102  | 08-01-2002                     | Aventis Pharma S.A. et al.                      |   | abs            |
|                          | C132                  | WO-02/060900  | 08-08-2002                     | Telik, Inc. et al.                              |   |                |
|                          | C133                  | WO-02/062763  | 08-15-2002                     | Bayer Corporation et al.                        |   |                |
|                          | C134                  | WO-02/070008  | 09-12-2002                     | Imclone Systems Incorporated et al.             |   |                |
|                          | C135                  | WO-02/076930  | 10-03-2002                     | Telik, Inc.                                     |   |                |
|                          | C136                  | WO-02/076977  | 10-03-2002                     | Bayer Corporation et al.                        |   |                |
|                          | C137                  | WO-02/083628  | 10-24-2002                     | Boehringer Ingelheim Pharmaceuticals, Inc.      |   |                |
|                          | C138                  | WO-02/083642  | 10-24-2002                     | Boehringer Ingelheim Pharmaceuticals, Inc.      |   |                |
|                          | C139                  | WO-02/085857  | 10-31-2002                     | Bayer Corporation et al.                        |   |                |
|                          | C140                  | WO-02/085859  | 10-31-2002                     | Bayer Corporation et al.                        |   |                |
|                          | C141                  | WO-02/088090  | 11-07-2002                     | Vertex Pharmaceuticals Incorporated et al.      |   |                |
|                          | C142                  | WO-02/092576  | 11-21-2002                     | Boehringer Ingelheim Pharmaceuticals, Inc.      |   |                |
|                          | C143                  | WO-03/004523  | 01-16-2003                     | Bayer Aktiengesellschaft et al.                 |   |                |
|                          | C144                  | WO-03/005999  | 01-23-2003                     | Boehringer Ingelheim Pharmaceuticals, Inc.      |   |                |
|                          | C145                  | WO-03/047523  | 06-12-2003                     | Onyx Pharmaceuticals, Inc.                      |   |                |
|                          | C146                  | WO-03/047579  | 06-12-2003                     | Bayer Corporation et al.                        |   |                |

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|--------------------|---------------|-----------------|------------|
| Examiner Signature | /Heidi Reese/ | Date Considered | 07/13/2011 |
|--------------------|---------------|-----------------|------------|

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 18 of 37

**Complete if Known**

|                        |                |
|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

**FOREIGN PATENT DOCUMENTS**

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|-----------------------|--------------------------|---|-----------------------------------|---|--|----------------|
|                       |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                   |   |  |                |
|                       | C147                     | WO-03/056036  | 07-10-2003                        | Catalyst Biomedica<br>Limited et al.                  |  |                |
|                       | C148                     | WO-03/059373  | 07-24-2003                        | Ramot at Tel Aviv<br>University Ltd. et<br>al.        |  |                |
|                       | C149                     | WO-03/060111  | 07-24-2003                        | Catalyst Biomedica<br>Limited et al.                  |  |                |
|                       | C150                     | WO-03/065995  | 08-14-2003                        | Supergen, Inc. et<br>al.                              |  |                |
|                       | C151                     | WO-03/068223  | 08-21-2003                        | Bayer Corporation<br>et al.                           |  |                |
|                       | C152                     | WO-03/068228  | 08-21-2003                        | Bayer Corporation<br>et al.                           |  |                |
|                       | C153                     | WO-03/068229  | 08-21-2003                        | Bayer Corporation<br>et al.                           |  |                |
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|                       | C155                     | WO-03/082272  | 10-09-2003                        | Chiron Corporation<br>et al.                          |  |                |
|                       | C156                     | WO-03/094626  | 11-20-2003                        | KMB Produktions<br>AG et al.                          |  | abs            |
|                       | C156a                    | WO-03/097854  | 11-27-2003                        | Sugen, Inc. et al.                                    |  |                |
|                       | C157                     | WO-03/099771  | 12-04-2003                        | Novartis AG et al.                                    |  |                |
|                       | C158                     | WO-2004/004720  | 01-15-2004                        | Astex Technology<br>Limited et al.                    |  |                |
|                       | C159                     | WO-2004/019941  | 03-11-2004                        | MERCK PATENT<br>GMBH et al.                           |  |                |
|                       | C160                     | WO-2004/037789  | 05-06-2004                        | MERCK PATENT<br>GMBH et al.                           |  |                |
|                       | C161                     | WO-2004/043374  | 05-27-2004                        | Dana-Farber<br>Cancer Institute<br>Inc. et al.        |  |                |
|                       | C162                     | WO-2004/045578  | 06-03-2004                        | NOVARTIS AG et<br>al.                                 |  |                |
|                       | C163                     | WO-2004/052880  | 06-24-2004                        | ASTRAZENECA<br>AB et al.                              |  |                |
|                       | C164                     | WO-2004/078128  | 09-16-2004                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
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|                       | C166                     | WO-2004/078747  | 09-16-2004                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                       | C167                     | WO-2004/078748  | 09-16-2004                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |

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Signature

/Heidi Reese/

Date  
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Sheet 19 of 37

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|                        |                |
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| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

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|                       | C168                     | WO-2004/085399  | 10-07-2004                        | MERCK PATENT<br>GMBH et al.                           |  |                |
|                       | C169                     | WO-2004/085425  | 10-07-2004                        | AMGEN INC.  |  |                |
|                       | C170                     | WO-2004/108713  | 12-16-2004                        | Warner-Lambert<br>Company LLC et<br>al.               |  |                |
|                       | C171                     | WO-2004/108715  | 12-16-2004                        | Warner-Lambert<br>Company LLC et<br>al.               |  |                |
|                       | C172                     | WO-2004/113274  | 12-29-2004                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                       | C173                     | WO-2005/000284  | 01-06-2005                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
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|                       | C175                     | WO-2005/004863  | 01-20-2005                        | MERCK PATENT<br>GMBH et al.                           |  |                |
|                       | C176                     | WO-2005/004864  | 01-20-2005                        | MERCK PATENT<br>GMBH et al.                           |  |                |
|                       | C177                     | WO-2005/005389  | 01-20-2005                        | MERCK PATENT<br>GMBH et al.                           |  |                |
|                       | C178                     | WO-2005/005434  | 01-20-2005                        | NOVARTIS AG et<br>al.                                 |  |                |
|                       | C179                     | WO-2005/009367  | 02-03-2005                        | AMBIT<br>BIOSCIENCES<br>CORPORATION et<br>al.         |  |                |
|                       | C180                     | WO-2005/009961  | 02-03-2005                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                       | C181                     | WO-2005/011700  | 02-10-2005                        | SMITHKLINE<br>BEECHAM<br>CORPORATION et<br>al.        |  |                |
|                       | C182                     | WO-2005/016252  | 02-24-2005                        | Ariad Gene<br>Therapeutics, inc.<br>et al.            |  |                |
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|                       | C184                     | WO-2005/032548  | 04-14-2005                        | CHIRON<br>CORPORATION et<br>al.                       |  |                |
|                       | C185                     | WO-2005/037273  | 04-28-2005                        | CHIRON<br>CORPORATION et<br>al.                       |  |                |
|                       | C186                     | WO-2005/037285  | 04-28-2005                        | CHIRON<br>CORPORATION et<br>al.                       |  |                |

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |    |    |    | Application Number       | 10/788,426     |
|   |    |    |    | Filing Date              | March 1, 2004  |
|   |    |    |    | First Named Inventor     | Jacques Dumas  |
|   |    |    |    | Art Unit                 | 1625           |
|   |    |    |    | Examiner Name            | Heidi L. Reese |
| Sheet   | 20 | of | 37 | Attorney Docket Number   | BAYER-0044     |

| FOREIGN PATENT DOCUMENTS |                          |   |                                   |   |  |                |
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|                          |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                   |   |  |                |
|                          | C187                     | WO-2005/037829  | 04-28-2005                        | MERCK PATENT<br>GMBH et al.                           |  | abs            |
|                          | C188                     | WO-2005/042520  | 05-12-2005                        | MERCK PATENT<br>GMBH et al.                           |  | abs            |
|                          | C189                     | WO-2005/047283  | 05-26-2005                        | MERCK PATENT<br>GMBH et al.                           |  | abs            |
|                          | C190                     | WO-2005/048948  | 06-02-2005                        | Ambit Biosciences<br>Corporation et al.               |  |                |
|                          | C191                     | WO-2005/049603  | 06-02-2005                        | MERCK PATENT<br>GMBH et al.                           |  | abs            |
|                          | C191a                    | WO-2005/056764  | 06-23-2005                        | Compound<br>Therapeutics, Inc.<br>et al.              |  |                |
|                          | C192                     | WO-2005/058832  | 06-30-2005                        | MERCK PATENT<br>GMBH et al.                           |  |                |
|                          | C192a                    | WO-2005/059179  | 06-30-2005                        | Bayer<br>Pharmaceutical<br>Corporation et al.         |  |                |
|                          | C193                     | WO-2005/075425  | 08-18-2005                        | MERCK PATENT<br>GMBH et al.                           |  |                |
|                          | C194                     | WO-2005/089443  | 09-29-2005                        | The Penn State<br>Research<br>Foundation et al.       |  |                |
|                          | C195                     | WO-2005/110994  | 11-24-2005                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                          | C196                     | WO-2006/026500  | 03-09-2006                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                          | C197                     | WO-2006/026501  | 03-09-2006                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                          | C198                     | WO-2006/027346  | 03-16-2006                        | ALTANA PHARMA<br>AG et al.                            |  |                |
|                          | C199                     | WO-2006/034797  | 04-06-2006                        | BAYER<br>HEALTHCARE AG<br>et al.                      |  |                |
|                          | C200                     | WO-2006/094626  | 09-14-2006                        | BAYER<br>HEALTHCARE AG<br>et al.                      |  |                |
|                          | C201                     | WO-2006/105844  | 10-12-2006                        | MERCK PATENT<br>GMBH et al.                           |  | abs            |
|                          | C202                     | WO-2006/125540  | 11-30-2006                        | BAYER<br>HEALTHCARE AG<br>et al.                      |  |                |
|                          | C203                     | WO-2007/015947  | 02-08-2007                        | BAYER<br>HEALTHCARE<br>LLC et al.                     |  |                |
|                          | C204                     | WO-2007/039403  | 04-12-2007                        | ALTANA PHARMA<br>AG et al.                            |  |                |

|                       |               |                    |            |
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| Examiner<br>Signature | /Heidi Reese/ | Date<br>Considered | 07/13/2011 |
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|                       | C205a                    | WO-2007/047955  | 04-26-2007                        | BAYER<br>HEALTHCARE<br>LLC et al.                     |  |                |
|                       | C206                     | WO-2007/053573  | 05-10-2007                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                       | C207                     | WO-2007/054215  | 05-18-2007                        | BAYER<br>HEALTHCARE AG<br>et al.                      |  |                |
|                       | C207a                    | WO-2007/056011  | 05-18-2007                        | BAYER<br>HEALTHCARE<br>LLC et al.                     |  |                |
|                       | C207b                    | WO-2007/056012  | 05-18-2007                        | BAYER<br>HEALTHCARE<br>LLC et al.                     |  |                |
|                       | C208                     | WO-2007/059094  | 05-24-2007                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                       | C209                     | WO-2007/059154  | 05-24-2007                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                       | C210                     | WO-2007/059155  | 05-24-2007                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                       | C211                     | WO-2007/064872  | 06-07-2007                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                       | C215                     | WO-2007/123722  | 11-01-2007                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
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|                       | C220                     | WO-2008/089389  | 07-24-2008                        | Bayer<br>Pharmaceuticals<br>Corporation et al.        |  |                |
|                       | D1                       | EP-0016371  | 10-01-1980                        | F. Hoffman-La<br>Roche & Co.<br>Aktienqesellschaft    |  | abs            |

|                       |               |                    |            |
|-----------------------|---------------|--------------------|------------|
| Examiner<br>Signature | /Heidi Reese/ | Date<br>Considered | 07/13/2011 |
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |    |    |    | Application Number       | 10/788,426     |
|   |    |    |    | Filing Date              | March 1, 2004  |
|   |    |    |    | First Named Inventor     | Jacques Dumas  |
|   |    |    |    | Art Unit                 | 1625           |
|   |    |    |    | Examiner Name            | Heidi L. Reese |
| Sheet   | 22 | of | 37 | Attorney Docket Number   | BAYER-0044     |

| FOREIGN PATENT DOCUMENTS |                          |   |                                   |   |  |                |
|--------------------------|--------------------------|---|-----------------------------------|---|--|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                   |   |  |                |
|                          | D2                       | EP-0107214  | 05-02-1984                        | Duphar<br>International<br>Research B.V.              |  |                |
|                          | D3                       | EP-0116932  | 08-29-1984                        | BASF<br>Aktiengesellschaft                            |  | abs            |
|                          | D3a                      | EP-0192263  | 07-29-1992                        | Ishihara Sangyo<br>Kaisha Ltd. et al.                 |  |                |
|                          | D4                       | EP-0192263  | 08-27-1986                        | Ishihara Sangyo<br>Kaisha Ltd. et al.                 |  |                |
|                          | D5                       | EP-0202538  | 11-26-1986                        | Bayer AG  |  | abs            |
|                          | D6                       | EP-0230400  | 07-29-1987                        | CIBA-GEIGY AG   |  | abs            |
|                          | D6a                      | EP-0233559  | 08-26-1987                        | Ishihara Sangyo<br>Kaisha Ltd. et al.                 |  |                |
|                          | D7                       | EP-0233559  | 05-06-1992                        | Ishihara Sangyo<br>Kaisha Ltd. et al.                 |  |                |
|                          | D8                       | EP-0242666  | 10-28-1987                        | BASF<br>Aktiengesellschaft                            |  | abs            |
|                          | D9                       | EP-0264904  | 04-27-1988                        | Ishihara Sangyo<br>Kaisha Ltd. et al.                 |  |                |
|                          | D10                      | EP-0314615  | 05-03-1989                        | Ciba-Geigy AG   |  | abs            |
|                          | D11                      | EP-0335156  | 10-04-1989                        | Bayer AG  |  | abs            |
|                          | D12                      | EP-0359148  | 03-21-1990                        | Ishihara Sangyo<br>Kaisha, Ltd. et al.                |  |                |
|                          | D13                      | EP-0371876  | 06-06-1990                        | Novapharme  |  | abs            |
|                          | D14                      | EP-0379915  | 08-01-1990                        | Bayer AG  |  | abs            |
|                          | D15                      | EP-0380048  | 08-01-1990                        | Ishihara Sangyo<br>Kaisha, Ltd.                       |  |                |
|                          | D16                      | EP-0381987  | 08-16-1990                        | Ishihara Sangyo<br>Kaisha, Ltd. et al.                |  |                |
|                          | D17                      | EP-0405233  | 01-02-1991                        | Mitsubishi Kasei<br>Corporation                       |  |                |
|                          | D18                      | EP-0425443  | 05-02-1991                        | Ciba-Geigy AG   |  | abs            |
|                          | D19                      | EP-0459887  | 12-04-1991                        | Novapharme  |  | abs            |
|                          | D20                      | EP-0502504  | 09-09-1992                        | Matsushita Electric<br>Industrial Co., Ltd.           |  |                |
|                          | D21                      | EP-0509795  | 10-21-1992                        | American Home<br>Products<br>Corporation              |  |                |
|                          | D22                      | EP-0676395  | 10-11-1995                        | Hoechst<br>Aktiengesellschaft                         |  | abs            |
|                          | D23                      | EP-0690344  | 01-03-1996                        | Konica Corporation                                    |  |                |
|                          | D24                      | EP-0709220  | 05-01-1996                        | Canon Kabushiki<br>Kaisha                             |  |                |

|                       |               |                    |            |
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| Examiner<br>Signature | /Heidi Reese/ | Date<br>Considered | 07/13/2011 |
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 23 of 37

**Complete if Known**

|                        |                |
|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

**FOREIGN PATENT DOCUMENTS**

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|--------------------|-----------------------|---|--------------------------------|---|---|----------------|
|                    |                       | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |   |   |                |
|                    | D24a                  | EP-0709225  | 05-01-1996                     | Nippon Paper Industries Co., Ltd.                           |   |                |
|                    | D25                   | EP-0709225  | 08-05-1998                     | Nippon Paper Industries Co., Ltd.                           |   |                |
|                    | D26                   | EP-0860433  | 08-26-1998                     | Kirin Beer Kabushiki Kaisha                                 |   |                |
|                    | D27                   | EP-1056725  | 12-06-2000                     | Bayer Corporation   |   |                |
|                    | D28                   | EP-1199306  | 04-24-2002                     | Banyu Pharmaceutical Co., Ltd.                              |   |                |
|                    | D29                   | EP-1256587  | 11-13-2002                     | The Kitasato Institute                                      |   |                |
|                    | D30                   | EP-1537075  | 06-08-2005                     | Neurosearch A/S et al.                                      |   |                |
|                    | E1                    | CH-479557   | 11-28-1969                     | Dr. A. Wander AG  |   | abs            |
|                    | F1                    | CL-38688  | 06-04-1993                     | Ciba-Geigy AG   |   |                |
|                    | G1                    | CA-2 146 707  | 10-12-1995                     | Hoechst Aktiengesellschaft                                  |   |                |
|                    | G2                    | CA-2,028,536  | 04-28-1991                     | Ciba-Geigy AG   |   |                |
|                    | H1                    | DD-253997   | 02-10-1988                     | Karl-Marx-Universität, Büro Für Neuerungen und Schutzrechte |   | abs            |
|                    | I1                    | DE-487014   | 11-14-1929                     | I.G. Farbenindustrie Akt.-Ges.                              |   |                |
|                    | I2                    | DE-511468   | 10-16-1930                     | I.G. Farbenindustrie Akt.-Ges.                              |   | abs            |
|                    | I3                    | DE-523437   | 04-02-1931                     | I.G. Farbenindustrie Akt.-Ges.                              |   |                |
|                    | I4                    | DE-2436179  | 04-10-1986                     | Shionogi & Co. Ltd.   |   | abs            |
|                    | I5                    | DT-2501648  | 07-24-1975                     | The Dow Chemical Co.  |   | abs            |
|                    | I6                    | DT-2436179  | 02-06-1975                     | Shionogi & Co., Ltd.  |   | abs            |
|                    | I7                    | DE-3305866  | 08-23-1984                     | BASF AG   |   | abs            |
|                    | I8                    | DE-3529247  | 11-20-1986                     | Bayer AG  |   | abs            |
|                    | I9                    | DE-3540377  | 05-21-1987                     | Bayer AG  |   | abs            |
|                    | J1                    | FR-1,457,172  | 09-19-1966                     | Ferrania S.p.A.   |   | abs            |
|                    | K1                    | GB-771,333  | 03-27-1957                     | J.R. Geigy A.-G.  |   |                |
|                    | K2                    | GB-828,231  | 02-17-1960                     | J.R. Geigy A.-G.  |   |                |

Examiner Signature

/Heidi Reese/

Date Considered

07/13/2011

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|   |    |    |    | Filing Date              | March 1, 2004  |
|   |    |    |    | First Named Inventor     | Jacques Dumas  |
|   |    |    |    | Art Unit                 | 1625           |
|   |    |    |    | Examiner Name            | Heidi L. Reese |
| Sheet   | 24 | of | 37 | Attorney Docket Number   | BAYER-0044     |

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|                          |                       | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |   |   |                |
|                          | K3                    | GB-921,682  | 03-20-1963                     | J.R. Geigy A.-G.                                |   |                |
|                          | K4                    | GB-1,110,099  | 04-18-1968                     | Rhone- Poulenc S.A.                             |   |                |
|                          | K4a                   | GB-1,111,554  | 05-01-1968                     | Societa Per Azioni Ferrania                     |   |                |
|                          | K5                    | GB-1 590 870  | 06-10-1981                     | Shionogi Seiyaku Kabushiki Kaisha.              |   |                |
|                          | L1                    | HU-P0004437   | 06-28-2001                     | Bayer Corporation                               |   | abs            |
|                          | M1                    | IR-26555  | 01-13-2000                     | Bayer Corporation                               |   | abs            |
|                          | N1                    | JP-44-2569  | 02-03-1969                     | Sankyo Co., Ltd. and Asahi Chem. Ind. Co., Ltd  |   | abs            |
|                          | N2                    | JP-50-76072   | 06-21-1975                     | Shionogi Seiyaku KK                             |   | abs            |
|                          | N3                    | JP-50-77375   | 06-24-1975                     | Shionogi Seiyaku KK                             |   | abs            |
|                          | N4                    | JP-50-149668  | 11-29-1975                     | unknown   |   | abs            |
|                          | N5                    | JP-51-63170   | 06-01-1976                     | Shionogi Shinzaburo                             |   | abs            |
|                          | N6                    | JP-51-80862   | 07-15-1976                     | Shionogi Seiyaku KK                             |   | abs            |
|                          | N7                    | JP-53-86033   | 07-29-1978                     | Shionogi Co. Ltd.                               |   | abs            |
|                          | N8                    | JP-54-32468   | 09-03-1979                     | Otsuka Pharmaceutical Co. Ltd.                  |   | abs            |
|                          | N9                    | JP-55-98152   | 07-25-1980                     | HOKKO CHEM. IND. CO.                            |   | abs            |
|                          | N10                   | JP-55-124763  | 09-26-1980                     | Ishihara Mining & Chemical Co.                  |   | abs            |
|                          | N11                   | JP-55-162772  | 12-18-1980                     | Shionogi & Co. Ltd.                             |   | abs            |
|                          | N13                   | JP-57-53785   | 11-15-1982                     | Shionogi Seiyaku KK                             |   | abs            |
|                          | N14                   | JP-58-21626   | 05-02-1983                     | Shionogi Seiyaku KK                             |   | abs            |
|                          | N16                   | JP-61-20039   | 01-28-1986                     | Konishiroku Photo Ind. Co., Ltd.                |   | abs            |
|                          | N15                   | JP-63-214752  | 09-07-1988                     | Fuji Photo Film Co. Ltd.                        |   | abs            |
|                          | N17                   | JP-64-9455  | 01-12-1989                     | Fuji Photo Film Co., Ltd.                       |   | abs            |
|                          | N18                   | JP-1-102461   | 04-20-1989                     | Konica Corp.                                    |   | abs            |
|                          | N19                   | JP-1-132580   | 05-25-1989                     | Ciba Geigy AG                                   |   | abs            |
|                          | N20                   | JP-1-200254   | 08-11-1989                     | Konica Corp.                                    |   | abs            |

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| Examiner Signature | /Heidi Reese/ | Date Considered | 07/13/2011 |
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|                          |                       | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |   |   |                |
|                          | N21                   | JP-1-259360   | 10-17-1989                     | Konica Corp.                                    |   | abs            |
|                          | N22                   | JP-2-22650  | 01-25-1990                     | Konica Corp.                                    |   | abs            |
|                          | N23                   | JP-2-23337  | 01-25-1990                     | Konica Corp.                                    |   | abs            |
|                          | N24                   | JP-2-35450  | 02-06-1990                     | Konica Corp.                                    |   | abs            |
|                          | N25                   | JP-2-105146   | 04-17-1990                     | Konica Corp.                                    |   | abs            |
|                          | N26                   | JP-2-108048   | 04-19-1990                     | Konica Corp.                                    |   | abs            |
|                          | N27                   | JP-2-150840   | 06-11-1990                     | Konica Corp.                                    |   | abs            |
|                          | N28                   | JP-3-53247  | 03-07-1991                     | Fuji Photo Film Co Ltd.                         |   | abs            |
|                          | N29                   | JP-3-144634   | 06-20-1991                     | Konica Corp.                                    |   | abs            |
|                          | N30                   | JP-3-198049   | 08-29-1991                     | Fuji Photo Film Co. Ltd.                        |   | abs            |
|                          | N31                   | JP-6-75172  | 09-21-1994                     | Fuji Photo Film Co. Ltd.                        |   | abs            |
|                          | N32                   | JP-8-301841   | 11-19-1996                     | Green Cross Corp. et al.                        |   | abs            |
|                          | N33                   | JP-10-306078  | 11-17-1998                     | Mitsubishi Chemical Corp.                       |   | abs            |
|                          | O1                    | LB-6124   | 01-12-2000                     | Bayer Corporation.                              |   |                |
|                          | T-F1                  | CL-38688  | 06-04-1993                     | Ciba-Geigy AG                                   |   |                |
|                          | T-I1                  | DE-487014   | 11-14-1929                     | I.G. Farbenindustrie Akt.-Ges.                  |   |                |
|                          | T-I3                  | DE-523437   | 04-02-1931                     | I.G. Farbenindustrie Akt.-Ges.                  |   |                |

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|                                 | P7                    | Avruch et al., "Raf meets Ras: completing the framework of a signal transduction pathway," <i>TIBS</i> 19; July 1994; pp. 279-283   |                |
|                                 | P9a                   | Baka et al., "A review of the latest clinical compounds to inhibit VEGF in pathological angiogenesis," <i>Expert Opinion Therapeutic Targets</i> , 2006, Vol. 10, No.6, pp. 867-876   |                |
|                                 | P10                   | Balant et al., "Metabolic Considerations in Prodrug Design," Chapter Twenty-Three In: <i>Burger's Medicinal Chemistry and Drug Discovery</i> , 5 <sup>th</sup> ed. John Wiley & Sons, Inc., New York, 1995: Vol. 1, pp. 949-982                                 |                |
|                                 | P17                   | Berge et al., "Pharmaceutical Salts," <i>Journal of Pharmaceutical Sciences</i> , January 1977:1-19, Vol. 66, No. 1   |                |

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Sheet 26 of 37

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|                        |                |
|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

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|   |    |    |    | Filing Date              | March 1, 2004  |
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|   |    |    |    | Filing Date              | March 1, 2004  |
|   |    |    |    | First Named Inventor     | Jacques Dumas  |
|   |    |    |    | Art Unit                 | 1625           |
|   |    |    |    | Examiner Name            | Heidi L. Reese |
| Sheet   | 28 | of | 37 | Attorney Docket Number   | BAYER-0044     |

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |    |    |    | Application Number       | 10/788,426     |
|   |    |    |    | Filing Date              | March 1, 2004  |
|   |    |    |    | First Named Inventor     | Jacques Dumas  |
|   |    |    |    | Art Unit                 | 1625           |
|   |    |    |    | Examiner Name            | Heidi L. Reese |
| Sheet   | 31 | of | 37 | Attorney Docket Number   | BAYER-0044     |

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |                        | Application Number       | 10/788,426     |
|   |                        | Filing Date              | March 1, 2004  |
|   |                        | First Named Inventor     | Jacques Dumas  |
|   |                        | Art Unit                 | 1625           |
|   |                        | Examiner Name            | Heidi L. Reese |
| Sheet 32 of 37  | Attorney Docket Number | BAYER-0044               |                |

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|------------------------|----------------|
| Application Number     | 10/788,426     |
| Filing Date            | March 1, 2004  |
| First Named Inventor   | Jacques Dumas  |
| Art Unit               | 1625           |
| Examiner Name          | Heidi L. Reese |
| Attorney Docket Number | BAYER-0044     |

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|   |    |    |    | Application Number       | 10/788,426     |
|   |    |    |    | Filing Date              | March 1, 2004  |
|   |    |    |    | First Named Inventor     | Jacques Dumas  |
|   |    |    |    | Art Unit                 | 1625           |
|   |    |    |    | Examiner Name            | Heidi L. Reese |
| Sheet   | 34 | of | 37 | Attorney Docket Number   | BAYER-0044     |

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| Examiner Signature | /Heidi Reese/ | Date Considered | 07/13/2011 |
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|   |    |    |    | Application Number       | 10/788,426     |
|   |    |    |    | Filing Date              | March 1, 2004  |
|   |    |    |    | First Named Inventor     | Jacques Dumas  |
|   |    |    |    | Art Unit                 | 1625           |
|   |    |    |    | Examiner Name            | Heidi L. Reese |
| Sheet   | 36 | of | 37 | Attorney Docket Number   | BAYER-0044     |

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|                                 | P433                  | English abstract of JP 03-198049, 1991-08-29, Patent Abstracts of Japan, 1 page   |                 |
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|                                 | P446                  | English abstract of JP 05-077375, 1993-03-30, Patent Abstracts of Japan, 1 page   |                 |
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|                                 | P456                     | English abstract of DE511468, European Patent Office, 2 pages; 10/30/1930   |                |
|                                 | P457                     | Co-Pending U.S. Patent Application No. 12/619,913, filed November 17, 2009, Ranges et al.   |                |
|                                 | T-P3a                    | Arzneimitteltherapie, "Sorafenib" October 6, 2006, Auflage 18498, 7 pages English translation   |                |

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